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| SECURITIES AND FUTURES ACT  (Cap. 289)  SECURITIES AND FUTURES  (LICENSING AND CONDUCT OF BUSINESS)  REGULATIONS | FORM  29 |
| **NOTICE OF CESSATION OF BUSINESS / ANY REGULATED ACTIVITY(S) PURSUANT TO REGULATION 14(4) BY A PERSON EXEMPTED FROM HOLDING A CAPITAL MARKETS SERVICES LICENCE UNDER SECTION 99(1) (a), (b), (c) AND (d)**   |  |  | | --- | --- | | **Name of exempt person** | Click or tap here to enter text. | | **Company registration number (UEN)** | Click or tap here to enter text. | | |
| Explanatory Notes | |
| 1. For the purposes of this Form –    1. “exempt person” refers to a person exempt from holding a capital markets services licence under section 99(1)(a), (b), (c), or (d) of the Securities and Futures Act (Cap. 289) (“the Act”) ;    2. “key officer”, in relation to an exempt person, means:       1. a director or equivalent person; or       2. a chief executive officer or equivalent person. 2. All terms used in this Form shall, except where expressly defined in this Form or where the context otherwise requires, have the same meanings as defined in the Act or its regulations. 3. All questions must be answered and all fields must be filled.      1. This Form should be accompanied by a scanned or pdf copy of the exempt person's Declaration in section 4 when submitting this Form electronically to the Monetary Authority of Singapore (“the Authority”). The Declaration must be signed by either the chief executive officer, a director or the corporate secretary of the exempt person. Electronic signatures may be provided in lieu of physical signatures. 2. If more space is needed to provide responses to the questions in this Form, the exempt person should submit an attachment with the additional responses, and label clearly the relevant question(s) each additional response is for. 3. Form 29 should be lodged online via [FormSG](https://form.gov.sg/6360d3b4113c4300121e7506). After submission, FIs may view a record of their submission by logging into [[MAS-Tx](https://eservices.mas.gov.sg/mastx/login)](https://eservices.mas.gov.sg/mastx/login). | |

SECTION 1: INFORMATION ON THE CESSATION

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| **Date of cessation of business or activity** | Click or tap to enter a date. |

1.1 Indicate what the lodgement is for:

The exempt person will cease to carry on all business as an exempt person.

The exempt person will cease to carry on the following of its activities:

Dealing in Capital Markets Products that are:

Securities

Units in a collective investment scheme

Exchange-traded derivatives contracts

Over-the-counter derivatives contracts

Spot foreign exchange contracts for the purposes of leveraged foreign exchange trading

Advising on Corporate Finance

Fund Management

Real Estate Investment Trust Management

Product Financing

Providing Credit Rating Services

Providing Custodial Services

SECTION 2: REASON(S) FOR CESSATION

1. Indicate the reason(s) for ceasing business as exempt person:

The exempt person has assessed that its business is no longer viable due to commercial reasons.

There have been changes in the exempt person's business model.

The shareholder(s) and/or director(s) of the exempt person have decided to pursue other opportunities.

Others (state): Click or tap here to enter text.

SECTION 3: OTHER INFORMATION

1. Is the exempt person or any of its substantial shareholder(s), controller(s), key officer(s) and representative(s) currently undergoing any investigations by any regulatory authority, professional body or government agency, or the subject of any complaint made reasonably and in good faith relating to the business activities carried out by the exempt person?

No

Yes. Provide details in an annex, and where appropriate, supporting documents (to be attached as part of the submission of this Form.)

SECTION 4: DECLARATION

**Attach a scanned or pdf copy of this Declaration when submitting this Form electronically. The Declaration must be signed by either the chief executive officer, a director or the corporate secretary of the exempt person.** **Electronic signatures may be provided in lieu of physical signatures.**

As a chief executive officer/director/corporate secretary of

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| (Name of exempt person) Click or tap here to enter text. |

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| ***Where the exempt person will cease/has ceased to carry on all business as an exempt person:***  I declare that:  (i) the exempt person has fully discharged all customer obligations and ensured that customer assets and/or monies have been accounted for and returned to customers before ceasing its business; and  (ii) the exempt person will inform/has informed all its customers of its cessation. |

I declare that we are fully aware that sections 329(1), (3) and (4) of the Act provide as follows:

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| “ANY PERSON WHO FURNISHES THE AUTHORITY WITH ANY INFORMATION UNDER THIS ACT SHALL USE DUE CARE TO ENSURE THAT THE INFORMATION IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR.  ANY PERSON WHO –   1. SIGNS ANY DOCUMENT LODGED WITH THE AUTHORITY; OR 2. LODGES WITH THE AUTHORITY ANY DOCUMENT BY ELECTRONIC MEANS USING ANY IDENTIFICATION OR IDENTIFYING CODE, PASSWORD OR OTHER AUTHENTICATION METHOD OR PROCEDURES ASSIGNED TO HIM BY THE AUHORITY,   SHALL USE DUE CARE TO ENSURE THAT THE DOCUMENT IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR.  ANY PERSON WHO CONTRAVENES SUBSECTION (1) OR (3) SHALL BE GUILTY OF AN OFFENCE AND SHALL BE LIABLE ON CONVICTION TO A FINE NOT EXCEEDING $50,000 OR TO IMPRISONMENT FOR A TERM NOT EXCEEDING 2 YEARS OR TO BOTH”. |

I declare that all information given in this Form and in the attached annexes (if any) is true and correct.

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|  | Signature: |  |
|  | Designation: | Choose an item. |
|  | Name: | Click here to enter text. |
|  | Date: | Click here to enter a date. |
|  |  | (DD/MM/YYYY) |